UNITED STATES DISTRICT COURT CENTRAL DISTRICT OF CALIFORNIA

CENTER FOR HUMAN RIGHTS AND CONSTITUTIONAL LAW, et al.,)))
Plaintiffs,) Civil Action No. 10-3375
v. NATIONAL GEOSPATIAL- INTELLIGENCE AGENCY, et al.,) DECLARATION IN SUPPORT OF) SUMMARY JUDGMENT)
Defendants.)) _)

DECLARATION OF BARRY M. BARLOW

INTRODUCTION

I, Barry M. Barlow hereby declare and state:

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- 1. I am the National Geospatial-Intelligence Agency (NGA) Director,
 Acquisition Directorate. I have held this position since 2009. I make this
 declaration in support of NGA's Motion for Summary Judgment in this
 proceeding. I make the following statements based upon my personal knowledge
- and information made available to me in my official capacity.
- 2. NGA develops imagery and map-based intelligence solutions for U.S. national defense, homeland security and safety of navigation. NGA provides geospatial-intelligence, meaning the exploitation and analysis of imagery and

- 3. As the Director of the Acquisition Directorate in NGA, and as an original classification authority for the Agency, I am responsible for protecting information that originates with NGA or otherwise implicates NGA interests. As part of my official duties, I ensure that any determinations as to the release or withholding of such information are proper and do not endanger NGA personnel or facilities, and do not jeopardize the interests of NGA or of U.S. national security.
- 4. As part of my official duties I am authorized to assess the current, proper classification of NGA information, based on the classification criteria of Executive Order 12958¹, as amended, ("E.O. 12,958"), and applicable NGA regulations. Furthermore, as a senior NGA official and under a written delegation of authority pursuant to section 1.3(c) of E.O. 12,958, I hold original classification authority at the SECRET level. I am therefore authorized to conduct classification reviews and

¹ E.O. 12,958 was amended by E.O. 13,292. <u>See</u> Exec. Order No. 13292, 68 Fed. Reg. 15315 (Mar. 28, 2003). All citations to E.O. 12,958 are to E.O. 12,958 as amended by E.O. 13,292. On December 29, 2009, President Barack Obama issued E.O. 13,526, the relevant provisions of which superseded E.O. 12,958, as amended, and E.O. 13,292 when they went into effect in June 2010. <u>See</u> 75 Fed. Reg. 707 (Jan. 5, 2010).

to make original classification and declassification decisions and to make determinations as to release or withholding of NGA information, including information that may be the subject of Freedom of Information Act ("FOIA"), 5 U.S.C. § 552, requests. Information that reasonably could be expected to cause damage to national security, if improperly disclosed, shall be classified as CONFIDENTIAL; serious damage as SECRET; and exceptionally grave damage as TOP SECRET.

- 5. Through the exercise of my official duties I am familiar with this civil action. I submit this declaration in support of NGA's motion for summary judgment in this proceeding.
- 6. The Center for Human Rights and Constitutional Law's (plaintiff) FOIA request sought satellite imagery of the Cuban coastal waters, taken on or about February 24, 1996, and documents related to those images. As an original classification authority for NGA, I have determined that NGA can neither confirm nor deny the existence or nonexistence of the records responsive to plaintiff's FOIA request because the fact of the existence or nonexistence of any records responsive to the request is currently and properly classified information concerning intelligence sources and methods, exempt from release under FOIA

- 7. As further discussed below the fact of the existence or nonexistence of the requested records is currently and properly classified in accordance with E.O. 12,958 and is protected from disclosure by statute. Official NGA acknowledgement of the requested records would reveal information that concerns intelligence activities, intelligence sources and methods, and foreign relations. The disclosure of such information reasonably could be expected to cause damage to the national security of the United States.
- 8. The purpose of this declaration is to articulate the basis for NGA's Glomar response to plaintiff's request for information under the FOIA and to identify the applicable FOIA exemptions that support the Glomar response in this case.

Plaintiff's FOIA Request

9. Plaintiff sent NGA a FOIA request dated December 29, 2009, requesting:

[A.] Any satellite images, satellite imagery, satellite photographs, or satellite video images of the area in which an incident took place on February 24, 1996 over or near the north coast of Cuba in which two aircraft flown by the Brothers to the Rescue organization of Florida were intercepted in flight and shot down by Cuban MiGs, including but not limited to satellite images, satellite imagery, satellite

² The origins of the Glomar response trace back to <u>Phillippi v. CIA</u>, 546 F.2d 1009 (D.C. Cir. 1976), which affirmed CIA's use of the "neither confirm nor deny" response to a FOIA request for records concerning CIA's reported contacts with the media regarding Howard Hughes' ship, the "Hughes Glomar Explorer."

photographs, or satellite video images showing any of the Brothers to the Rescue or Cuban aircraft involved in the incident...whether created any time before, during, or after the downing of the two aircraft, including any images or photos of any wreckage.

[B.] Any documents or records relating to the items sought in number 1 above including but not limited to reports, requests, assessments, data compilations, directives, instructions, guidance, memoranda, correspondence, notes, indices, cables, telexes, telegrams, or letters, whether maintained in paper, digital, video, digital tape, audio tape, or any other preserved form, regarding the aforementioned satellite images, satellite imagery, satellite photographs, or satellite video images.

See Plaintiffs' Complaint at 3.

- 10. By letter dated April 6, 2010, NGA denied the plaintiff's FOIA request stating that it could "neither confirm nor deny the existence or nonexistence of records responsive to your request." The letter further stated that records, if they exist, are "classified for reasons of national security under Executive Orders 12,951 and 12,958" and that acknowledging the existence or nonexistence of such records "would also relate directly to information concerning intelligence sources, methods, or capabilities, and as a result, is exempt from search, review, publication or disclosure in accordance with Title 10, Section 457, Unites States Code."

11. Plaintiff appealed NGA's denial by letter dated April 9, 2010. In light of plaintiff's filing of the current litigation on May 5, 2010, NGA has ceased processing the administrative appeal the plaintiff filed with NGA.

Basis for NGA's Glomar Determination

12. NGA's response to plaintiff's FOIA request —neither confirming nor denying the existence or non-existence of records—is commonly referred to as a Glomar response. This response protects a specific and narrow type of classified fact, and is provided for under E.O. 12,958, section 3.6(a):

[I]n response to a request for information under the Freedom of Information Act... An agency may refuse to confirm or deny the existence or nonexistence of requested records whenever the fact of their existence or nonexistence is itself classified under this order or its predecessors.

Plaintiff's request presents an instance where mere confirmation or denial of the existence of responsive records would reveal classified facts—NGA's interest, ability, or involvement in obtaining satellite data, and the breadth and scope of that interest. Such a response would reveal intelligence activities, intelligence sources and methods, and concern U.S. foreign relations. Thus, NGA's only course of action here is to invoke a Glomar response by stating that it can neither confirm nor deny the existence or nonexistence of the requested records.

13. By contrast, in a typical FOIA request scenario, a FOIA requester submits a request to NGA for information on a particular subject and NGA responds by conducting a search of non-exempt records and advising whether responsive records were located. If records are located, NGA provides non-exempt records or reasonably segregable non-exempt portions of records, and withholds the

remaining exempt records and exempt portions of records. In this typical circumstance, NGA's response, either to provide or not provide the records sought, actually confirms the existence or nonexistence of NGA records. Generally, such confirmation poses no harm to national security or intelligence sources and methods because the response focuses on releasing or withholding specific substantive information. In those circumstances, the fact that NGA possesses or does not possess records is not itself a classified fact.

14. However, in this case, NGA's interest, ability, or involvement in obtaining satellite data, and the breadth and scope of that interest is currently a properly classified fact, the disclosure of which reasonably could be expected to cause damage to national security. In other words, what is classified is not just individual records themselves on a document-by-document basis, but also the mere fact of NGA's intelligence gathering and capability, and the possibility that NGA possesses responsive records derived there from. NGA confirmation of the existence or nonexistence of records responsive to any of the categories in plaintiff's request would acknowledge an intelligence interest, or lack thereof, in the time, location, incident, foreign nation, etc., concerning the subject of plaintiff's request and would reveal intelligence activities, intelligence sources and methods, and concern U.S. foreign relations.

15. In order to be credible and effective, NGA must use the Glomar response consistently in all cases where the existence or nonexistence of records responsive to a FOIA request is a classified fact, including those instances in which NGA does not possess records responsive to a particular request. If NGA were to invoke a Glomar response only when it possessed responsive records, and inform requesters when it had no records, the Glomar response would unsurprisingly be interpreted as an admission that responsive records exist. This practice would reveal the very information that NGA must protect in the interest of national security, provide a valuable advantage to terrorist organizations and foreign intelligence services, and endanger NGA's intelligence activities worldwide.

16. If NGA were to admit that it had "any satellite images, satellite imagery, satellite photographs or satellite video images" related to a specific incident, date, and location, NGA would alert foreign intelligence services to NGA's intelligence capabilities and interests. Disclosure of whether NGA was involved or not in collecting satellite data or any other intelligence activities related to this request would expose whether or not NGA maintains an intelligence interest in specific activities or locations. Additionally, disclosure of whether or not NGA has the requested information would reveal information concerning the reach, locations, and capabilities or limitations of NGA's intelligence activities and operations. With knowledge of NGA's intelligence interests and capabilities, foreign

intelligence services could initiate denial and deception techniques which could affect NGA access to vital sources of information. For instance, knowing where and when NGA seeks to collect data, foreign adversaries could seek to provide false sources of data or to prevent collection of data altogether.

17. If NGA were to deny that it had such images related to a specific incident, date, and location, NGA would likewise alert foreign intelligence services to NGA's intelligence capabilities and interests, or lack thereof. Knowledge of NGA's capabilities and areas of interest would be the foundation of any foreign attempt to develop "denial and deception" techniques to defeat those capabilities.

18. The potential harm to NGA is possibly magnified if a foreign intelligence service were to submit multiple intelligence requests. If a foreign intelligence service could gather information on NGA's intelligence capabilities and interests using multiple FOIA requests, the foreign intelligence service could cobble together those responses to create a picture of NGA's overall capabilities and intelligence interests. Every country or intelligence service has limited resources. The disclosure of potential U.S. intelligence target areas and interests would indicate how NGA allocates its resources. Any one FOIA request standing alone might not allow great insight into where NGA is (and is not) monitoring foreign adversaries. However, multiple requests would potentially allow adversaries to

hide their activities by exploiting data about how NGA allocates its collection resources.

19. In sum, for NGA to officially confirm or deny the existence or nonexistence

of the requested records would reveal classified national security information that concerns intelligence activities, intelligence sources and methods, and U.S. foreign relations. I have determined that such a revelation could be expected to cause damage to U.S. national security. Accordingly, I have determined that the fact of the existence or nonexistence of records responsive to plaintiff's FOIA request is currently and properly classified and exempt from release under FOIA exemptions (b)(1) and (b)(3).

Applicable FOIA Exemptions

A. FOIA Exemption (b)(1)

- 20. FOIA exemption (b)(1), 5 U.S.C. § 552(b)(1), provides that the FOIA disclosure provisions do not apply to matters that are:
 - (A) specifically authorized under criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified pursuant to such Executive order.
- 21. Section 1.1(a) of E.O. 12,958 provides that information may be originally classified under the terms of this order only if all of the following conditions are met:

- (1) an original classification authority is classifying the information; (2) the information is owned by, produced by or for, or is under the control of the U.S. Government; (3) the information falls within one or more of the categories of information listed in section 1.4 of E.O. 12,958; and (4) the original classification authority determines that the unauthorized disclosure of the information reasonably could be expected to result in some level of damage to the national security and the original classification authority is able to identify or describe the damage.
- 22. Furthermore, section 3.6(a) of E.O. 12,958 specifically states that "[a]n agency may refuse to confirm or deny the existence or nonexistence of requested records whenever the fact of their existence or nonexistence is itself classified under this order or its predecessors." E.O. 12,958 therefore explicitly sanctions precisely the type of response that NGA has provided to plaintiff in this case.
- 23. Consistent with Sections 1.1(a) and 3.6(a) of E.O. 12,958, and as described below, I have, as an original classification authority, determined that: the existence or nonexistence of the requested records is a fact that constitutes information owned by and under the control of the U.S. Government; this information is a properly classified fact that concerns E.O. 12,958 section 1.4(c) intelligence activities and intelligence sources and methods, and E.O. 12,958 section 1.4(d) foreign relations of the U.S.; and the unauthorized disclosure of this fact reasonably could be expected to result in some level of damage to the national security. In the subsequent paragraphs, I identify and describe the potential damage.

1. Intelligence Activities

24. Responding to plaintiff's FOIA request with anything other than a Glomar response reasonably could be expected to cause some level of damage to U.S. intelligence activities. An acknowledgement of information regarding specific intelligence activities reveals NGA's specific intelligence interests and capabilities. Terrorist organizations, foreign intelligence services and others who have interests opposed to those of the United States use this information to thwart NGA activities. These parties continually search for information regarding the activities of NGA and are able to gather information from myriad sources, analyze this information, and create ways to defeat NGA activities from seemingly disparate pieces of information. In this case acknowledging the existence or nonexistence of the requested records reasonably could be expected to cause some level of damage to national security by disclosing intelligence collection efforts.

- 2. Intelligence Sources and Methods
- 25. Responding to plaintiff's FOIA request with anything other than a Glomar response reasonably could be expected to cause some level of damage to U.S. intelligence sources and methods. Intelligence sources and methods include the business practices and methodological basic "tools" used by NGA to accomplish its mission. They can include sophisticated technological tools, liaison relationships, and NGA's identification of targets for intelligence collection

activity, among other sensitive sources and methods. As stated above, to confirm or deny whether NGA has records responsive to Plaintiff's request could risk the disclosure of these intelligence sources and methods.

26. Intelligence sources and methods must be protected from disclosure in every situation where a certain intelligence interest, capability, or technique is unknown to those groups that could take countermeasures to nullify its effectiveness. Secret information-collection techniques, capabilities, or technological devices are valuable (from an intelligence-gathering perspective) only so long as they remain unknown and unsuspected. Once an intelligence source or method (or the fact of its use in a certain situation) is discovered, its continued successful use by NGA is seriously jeopardized. In fact, detailed knowledge of intelligence sources and methods must be protected from disclosure because such knowledge would be of material assistance to those who seek to detect, prevent, or damage U.S. intelligence operations.

27. Because foreign intelligence services view discovery of NGA capabilities as one of their primary defensive missions, these admissions would be of great benefit, by enabling the foreign services to redirect their resources to identify potential NGA sources, circumvent the NGA's monitoring efforts, and generally enhance their intelligence activities at the expense of the United States.

28. NGA must do more than prevent explicit references to an intelligence source or method; it must also prevent indirect references to such a source or method. One vehicle for gathering information about NGA capabilities is by reviewing officially-released information. Terrorist organizations and foreign intelligence services have the capacity and ability to gather information from myriad sources, analyze it, and deduce means and methods (from disparate and even seemingly unimportant details) to defeat NGA collection efforts. Even seemingly innocuous, indirect references to an intelligence source or method could have significant adverse effects when juxtaposed with other publicly-available data.

- 29. As discussed below, intelligence sources and methods information also falls within the ambit of the National Security Act of 1947, as amended, 50 U.S.C. § 403-1(i)(1) and thus is exempt from disclosure under FOIA exemption (b)(3). The information sought by the plaintiff is specifically related to this statutory protection. Accordingly, FOIA exemptions (b)(1) and (b)(3) apply independently and co-extensively to plaintiffs FOIA request.
 - 3. Foreign Relations
- 30. Responding to plaintiff's FOIA request with anything other than a Glomar response reasonably could be expected to cause some level of damage to U.S. foreign relations. In carrying out its legally authorized intelligence activities, NGA

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engages in activities that if known by foreign nations, could reasonably be expected to cause damage to U.S. relations with affected or interested nations. Although it is generally known that NGA provides products and services related to geospatial intelligence, identifying an interest in a particular matter or publicly disclosing a particular intelligence operation could well cause the affected or interested foreign government to respond in ways that would seriously damage U.S. national interests. If specific operations involving foreign nations were exposed or publicly acknowledged U.S. foreign relations would be adversely impacted. The foreign government's response could be of a diplomatic or economic nature, a ground for anti-American propaganda, or a reason for retaliation against American citizens or other American interests. Such responses could reasonably be expected even though the events may be several years past. Perceptions of violation of sovereignty can generate retribution even years later. 31. An official acknowledgment that NGA possesses the requested information also could be construed by a foreign government, whether friend or foe, to mean that NGA has collected intelligence information on its citizens or resident aliens. Additionally, such acknowledgement could suggest NGA has operated undetected within that country's borders. For the same reasons described above, such a perception could adversely affect U.S. foreign relations with that nation.

32. In conclusion, NGA can neither confirm nor deny whether records exist that 1 2 would be responsive to plaintiff's request. My determination that the existence or nonexistence of the requested records is classified has not been made to conceal 3 violations of law, inefficiency, or administrative error; prevent embarrassment to a 4 person, organization or agency; restrain competition; or prevent or delay the 5 release of information that does not require protection in the interests of national 6 security. 7 B. FOIA Exemption (b)(3) 8 33. FOIA exemption (b)(3), 5 U.S.C. 552(b)(3), permits the U.S. Government 9 to withhold information prohibited from disclosure by federal statute that either 10 11 manner as to leave no discretion on the issue, or (B) establishes 12 particular criteria for withholding or refers to particular types of 13 matters to be withheld. 14 15 16 17

(A) requires that the matters be withheld from the public in such a

34. Section 102A (i)(1) of the National Security Act of 1947, as amended, 50

U.S.C. § 403-1(i)(1) (the "National Security Act"), provides that the Director of

National Intelligence (DNI) "shall protect intelligence sources and methods from

unauthorized disclosure." NSA § 102A(i)(1) specifically "refers to particular types

of matters to be withheld," as described in FOIA exemption (b)(3).

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35. As discussed above, confirming the existence or nonexistence of responsive

records would necessarily reveal NGA's intelligence sources and methods. Any

confirmation would thus result in an unauthorized disclosure of intelligence sources and methods, which NSA § 102A(i)(1) forbids.

36. Because plaintiff's FOIA request falls within the ambit of Section 102

(A)(i)(1) of the National Security Act of 1947, it is exempt from disclosure under FOIA exemption (b)(3). In contrast to E.O. 12,958, as amended, this statute further protects intelligence sources and methods and does not require NGA to identify or describe the damage to national security that reasonably could be expected to result should NGA confirm or deny the existence of records responsive to plaintiff's request. Regardless, because the statute and the Executive Order relate to the protection of sources and methods, I refer the Court to the paragraphs above for a description of the damage to national security should anything but a Glomar response be provided to plaintiff in this case. Accordingly, FOIA exemptions (b)(1) and (b)(3) apply independently and co-extensively to plaintiff's request.

<u>CONCLUSION</u>

37. The existence or nonexistence of the requested records, irrespective of the content of such putative records, is itself a properly classified fact, and is so intricately intertwined with intelligence activities, intelligence sources and methods, and U.S. foreign relations, that this fact must remain classified. As such, I have determined that the only appropriate response is for NGA to neither confirm

nor deny the existence of the requested records under FOIA exemptions (b)(1) and (b)(3).I hereby declare under penalty of perjury that the foregoing is true and correct. Executed this $13\frac{1}{2}$ day of October 2010. BARRY M. HARLOW Director, Acquisition Directorate National Geospatial-Intelligence Agency